

#3

Sheet 1 of 2

Form 1449*	Atty. Docket No.: 303.541US2	Serial No. Unknown 09/945397
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Applicant: Gurtej Singh Sandhu et al.	
	Filing Date: Herewith	Group: Unknown 2822

U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
SAC	5,043,780	08/27/1991	Fazan, P.C., et al.	357	23.6	01/03/90
SAC	5,068,199	11/26/1991	Sandhu, G.S.	437	52	05/06/91
SAC	5,130,885	07/14/1992	Fazan, P., et al.	361	313	07/10/91
SAC	5,185,282	02/09/1993	Lee, J.H., et al.	437	47	10/24/90
SAC	5,191,509	03/02/1993	Wen, D.	361	311	12/11/91
SAC	5,244,842	09/14/1993	Cathey, D.A., et al.	437	228	12/17/91
SAC	5,266,514	11/30/1993	Tuan, H., et al.	437	52	12/21/92
SAC	5,278,091	01/11/1994	Fazan, P., et al.	437	52	05/04/93
SAC	5,290,729	03/01/1994	Hayashide, Y., et al.	437	60	04/30/92
SAC	5,340,765	08/23/1994	Dennison, C.H., et al.	437	52	08/13/93
SAC	5,366,917	11/22/1994	Watanabe, H., et al.	437	47	03/19/91
SAC	5,381,302	01/10/1995	Sandhu, G., et al.	361	305	08/10/93
SAC	5,384,152	01/24/1995	Chu, J.C., et al.	427	81	06/01/93
SAC	5,392,189	02/21/1995	Fazan, P.C., et al.	361	305	08/10/93
SAC	5,405,801	04/11/1995	Han, K., et al.	437	60	03/01/93
SAC	5,407,534	04/18/1995	Thakur, R.P.	156	662	12/10/93
SAC	5,418,180	05/23/1995	Brown, K.K.	437	60	06/14/94
SAC	5,444,013	08/22/1995	Akram, S., et al.	437	60	11/02/94
SAC	5,447,878	09/05/1995	Park, Y., et al.	437	52	08/27/93
SAC	5,478,772	12/26/1995	Fazan, P.C.	437	60	02/17/95
SAC	5,480,826	01/02/1996	Sugahara, K., et al.	437	52	02/03/95
SAC	5,481,127	01/02/1996	Ogawa, T.	257	308	05/20/93
SAC	5,494,841	02/27/1996	Dennison, C.H., et al.	437	52	10/13/94
SAC	5,498,562	03/12/1996	Dennison, C.H., et al.	437	52	04/29/94
SAC	5,506,166	04/09/1996	Sandhu, G.S., et al.	437	60	09/27/94
SAC	5,554,557	09/10/1996	Koh, C.	437	52	02/02/96
SAC	5,597,756	01/28/1997	Fazan, P.C., et al.	437	52	06/21/95
SAC	5,608,247	03/04/1997	Brown, K.K.	257	306	05/15/95
SAC	5,629,223	05/13/1997	Thakur, R.	438	398	11/15/94
SAC	5,634,974	06/03/1997	Weimer, R.A., et al.	117	103	11/03/95
SAC	5,639,689	06/17/1997	Woo, S.H.	437	193	12/28/94
SAC	5,658,381	08/19/1997	Thakur, R.P., et al.	257	309	05/11/95
SAC	5,663,090	09/02/1997	Dennison, C.H., et al.	438	398	06/29/95
SAC	5,759,262	06/02/1998	Weimer, R.A., et al.	117	88	04/02/97
SAC	5,770,500	06/23/1998	Batra, S., et al.	438	255	11/15/96
SAC	5,773,341	06/30/1998	Green, J.E., et al.	438	253	01/18/96
SAC	5,801,413	09/01/1998	Pan, P.	257	301	12/19/95
SAC	5,859,760	01/12/1999	Park, I., et al.	361	313	04/21/97
SAC	6,046,083	04/04/2000	Lin, D., et al.	438	255	06/26/98
SAC	6,146,967	11/14/2000	Thakur, R.P., et al.	438	398	08/20/97

Examiner <u>J.A. Cuthrow</u>	Date Considered <u>9/20/02</u>
------------------------------	--------------------------------

*Substitute Disclosure Statement Form (PTO-1449)

**EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449*	Atty. Docket No.: 303.541US2	Serial No. Unknown 09/945393
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Applicant: Gurtej Singh Sandhu et al.	
	Filing Date: Herewith	Group: Unknown 2822

U.S. PATENT DOCUMENTS

**Examiner		Document Number	Date	Name	Class	Subclass	Filing Date	
Initial							If Appropriate	

FOREIGN PATENT DOCUMENTS

**Examiner		Document Number	Date	Country	Class	Subclass	Translation	
Initial							Yes	No

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

**Examiner Initial	
JAC	Mine, T., et al., "Capacitance-Enhanced Stacked-Capacitor with Engraved Storage Electrode for Deep Submicron DRAMs", <u>Extended Abstracts of the 21st Conference on Solid State Devices and Materials</u> , Tokyo, pp. 137-140, (1989)
JAC	Watanabe, H., et al., "Hemispherical Grain Silicon for High Density DRAMs", <u>Solid State Technology</u> , pgs. 29-33, (July 1992)

Examiner <u>JACothon</u>	Date Considered <u>9/20/02</u>
--------------------------	--------------------------------

*Substitute Disclosure Statement Form (PFO-1449)

**EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.